

WELSH & KATZ, LTD.

Attorneys at Law

120 SOUTH RIVERSIDE PLAZA • 22ND FLOOR
CHICAGO, ILLINOIS 60606-3912

TELEPHONE (312) 655-1500
FACSIMILE (312) 655-1501

www.welshkatz.com

A. SIDNEY KATZ*
RICHARD S. WOOD*
JEROME B. SCHNAYER
JOSEPH COHEN
JOSEPH R. MARCUS
GERALD S. SCHUR
GERALD T. SHEKLETON
JAMES A. SCHEER
DANIEL R. CHERRY
ROBERT B. BREISBLATT
JAMES P. WHITE
R. MARK HALLIGAN
HARTWELL P. MORSE, III
EDWARD P. GAMSON, PH.D.
KARA E.F. CENAR
KATHLEEN A. RHEINTGEN
THOMAS W. TOLPIN*
ELLIOTT C. BANKENDORF
RICHARD W. McLAREN, JR.
JOHN L. AMBROGI
JULIE A. KATZ
JON P. CHRISTENSEN
ERIC D. COHEN
WALTER J. KAWULA, JR.
PHILIP D. SEGREST, JR.
MITCHELL J. WEINSTEIN
LEONARD FRIEDMAN
STEVEN E. FELDMAN
JEFFREY W. SALMON

THOMAS L. GEMMELL
LOUISE T. WALSH
CHARLES R. KRIKORIAN, PH.D.
PAUL M. VARGO, PH.D.
JOSEPH E. CWIK

SHANNON L. NEBOLSKY, PH.D.
RICHARD J. GURAK
J. ARON CARNAHAN
ERIK B. FLOM, PH.D.
TERESA D. TAMBOLAS
DANIEL M. GURFINKEL
MICHELE S. KATZ*
NATALIE A. REMIEN
KRISTA M. LeBARON
YOLANDA M. PRICE

OF COUNSEL
LAURIE A. HAYNIE
JAMES J. MYRICK
THOMAS R. VIGIL

DONALD L. WELSH (1925-1998)

* ALSO ADMITTED IN DISTRICT OF COLUMBIA

January 27, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First-Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on this date.

1/27/04 
Date Eric D. Cohen, Reg. No. 38,110

Dear Sir:

Enclosed herewith are the following:

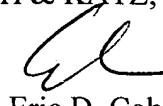
1. Transmittal Letter (in duplicate);
2. Information Disclosure Statement (in duplicate);
3. Form PTO-1449 (in duplicate);
4. Seven (7) U.S. references;
5. Three (3) Other Documents; and
6. Postcard.

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account 23-0920.

Very truly yours,

WELSH & KATZ, LTD.

By


Eric D. Cohen



Docket: 90054

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Parsons Group Art Unit: 3676
Serial No.: 10/684,970 Examiner: Not Yet Assigned
For: LEVERAGED BATON CAP Filed: October 14, 2003

INFORMATION DISCLOSURE STATEMENT

- [X] 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
- [] 2 Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- [] 3 Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- [] 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
[before issue fee payment]

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

SIR:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- [X] 5 A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- [X] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- [] 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- [] 8 The required certification made in item 11 below; or
- [] 9 The \$180.00 fee specified in 37 CFR § 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- [] 10 37 CFR 1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$180.00) required by 37 CFR 1.17(i)(l) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch _____, Date of Allowance _____"), and
- b) The required Certification is stated in item 11 below.

[] 11 Certification

- [] 12 Each item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- [X] 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 23-0920. An original and one (1) copy of this document are enclosed.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references or to whether the references are considered "analogous art." This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or 103. This Information Disclosure Statement shall not be construed as an admission that the statement or cited references are, or are considered to be, material to the patentability under 37 C.F.R. Section 1.97(h).

Respectfully submitted,

WELSH & KATZ, LTD.

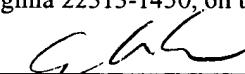

Eric D. Cohen
Reg. No. 38,110

WELSH & KATZ, LTD.
120 South Riverside Plaza
22nd Floor
Chicago, IL 60606
(312) 655-1500
January 27, 2004

CERTIFICATE OF MAILING

I hereby certify that his paper is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on this date:

January 27, 2004
Date


Eric D. Cohen, Esq.
Registration No. 38,110
Attorney for Applicant

Form PTO-1449 (Rev. 8-88)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No. 90054	Serial No. 10/684,970	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant		Parsons		
		Filing Date October 14, 2003		Group No. 3676		
U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Date	Name	Class	Subclass	
	5,110,375	05/05/92	Parsons			
	5,149,092	09/22/92	Parsons			
	5,348,297	09/20/94	Parsons			
	5,356,139	10/18/94	Parsons			
	5,645,276	07/08/97	Parsons			
	5,728,003	03/17/98	Hustad			
	5,919,093	07/06/99	Parsons			
FOREIGN PATENT DOCUMENTS						
	Document Number	Date	Country	Class	Subclass	Translation
						Yes
						No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	One (1) page (not dated) www.DTNUIB.com advertisement re DTNUB - Stinger / DTNUB = ASPL. Applicant submits that he was aware of the products shown more than one year prior to the filing of the above-identified patent application.					
	One (1) page (not dated) www.DTNUIB.com advertisement re "Less Lethal Force Option That Work!" Applicant submits that he was aware of the products shown more than one year prior to the filing of the above-identified patent application.					
	Eight (8) pages from http://dtnub.com re Patented Police Products and DTNUB Training dated 2/27/01 and 3/1/01. Applicant submits that he was aware of the products shown more than one year prior to the filing of the above-identified patent application.					
Examiner		Date Considered				
*Examiner:	Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

